

# Homeland Security Grant Program's (HSGP) Urban Area Security Initiative (UASI) Overview

April 14, 2021

# Agenda

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- Homeland Security Grant Program (HSGP) Overview
- Eligibility/Funding Requirements
- Risk Base Award/Methodology
- Mandatory Programmatic Review and Assessment
- Role of OHS as the Core City and UASI Management Team
- San Diego Urban Area (SDUA) Security Strategy Goals and Objectives
- Project Proposals and Role of Goal Leads
- Urban Area Working Group (UAWG) Membership and Allocation
- UASI Award Amount - 5 Year Perspective

# Homeland Security Grant Program (HSGP)

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- Provides funding to states, territories, urban areas and local and tribal governments to prevent, protect against, mitigate, respond to, and recover from potential terrorist attacks and other hazards
  - **State Homeland Security Program (SHSP)**
    - Administered by the County of SD OES
  - **Urban Area Security Initiative (UASI)**
    - Administered by the City of SD OHS
  - **Operation Stonegarden (OPSG)**
    - Administered by local law enforcement

# Eligibility Requirements

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- Eligible candidates
  - Determined by an analysis of relative risk of terrorism faced by the 100 most populous Metropolitan Statistical Areas (MSAs) in the United States, in accordance with the Homeland Security Act of 2002
  - Geographically contained within the SD MSA or San Diego Urban Area (SDUA)
- *We'll get to a definition of risk in a moment*

# UASI Funding Requirements

- Activities must follow a regional approach
  - UASI funds must be used to employ a regional approach to overall terrorism preparedness
  - Supplement not Supplant
    - Grant funds may not be used to support traditional/required local government duties, costs, positions, and responsibilities.
    - Grant funds must supplement existing funds, and will not replace (supplant) funds that have been appropriated for the same purpose.

# Relative Risk Methodology

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- The allocation methodology is based on DHS' risk methodology, and the anticipated effectiveness of San Diego's proposed projects.
- Eligible candidates have been determined by DHS through an analysis of relative risk ( $R=T*V*C$ ) terrorism imposes on the SDUA
- Previously, DHS has determined thirty-one high-threat, high-density urban areas nationwide are eligible for funding under the FY 2020 UASI program.
- San Diego consistently maintains a high risk ranking relative to other urban areas in the nation.

# Mandatory Review and Assessment

- FEMA requires participation in a suite of assessment products, known collectively as the National Risk and Capability Assessment (NRCA) which measures risk and capability across the SDUA in a standardized and coordinated process
  - Assessing RISK
    - Threat and Hazard Identification and Risk Assessment (THIRA)
  - Assessing CAPABILITY
    - Stakeholder Preparedness Review (SPR)
  - SDUA SECURITY STRATEGY
    - Single data driven document that combines the two assessments

# 2020 UASI Funding Highlights

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- Highlights of FY20 grant guidance
  - Minimum 25% to LETPA activities as outlined in the National Prevention Framework
  - Personnel cap of 50%
- Activities **must** support terrorism preparedness
  - Dual-/multi-use activities are allowed, if terrorism nexus is properly demonstrated in the grant application
  - “...all SHSP and UASI funded projects must assist recipients and subrecipients in achieving target capabilities related to preventing, preparing for, protecting against, or responding to acts of terrorism.” pg. A-15, FY20 FEMA Grants Manual



## 2020 Highlights - **SIGNIFICANT CHANGE**

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- In the FY20 HSGP NOFO significant updates in program priorities and funding allocations were introduced by DHS.
- These updates included the addition of 4 priority areas and a required 5% funding allocation to each of the priorities.
- The 4 priorities identified by FEMA are:
  - Enhancing cybersecurity (including election security) – 5%;
  - Enhancing the protection of soft targets/crowded places (including election security) – 5%;
  - Enhancing information and intelligence sharing and cooperation with federal agencies, including DHS – 5%;
  - Addressing emergent threats (e.g., unmanned aerial systems [UASs], etc.) – 5%.

## 2021 Highlights - **SIGNIFICANT CHANGE**

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- In the FY21 HSGP NOFO the significant updates in program priorities and funding allocations were introduced by DHS in the FY20 HSGP NOFO were continued and enhanced.
- The FY21 changes included an additional National Priority and an adjustment to the required percentage funding allocation to each of the priorities.
- The 5 priorities identified by FEMA are:
  - Enhancing cybersecurity – 7.5% (**increased min. % in FY21**)
  - Enhancing the protection of soft targets/crowded places – 5%
  - Enhancing information and intelligence sharing and analysis, and cooperation with federal agencies, including DHS – 5%
  - Combating domestic violent extremism – 7.5% (**new in FY21**)
  - Addressing emergent threats (e.g., transnational criminal organizations, unmanned aircraft systems [UASs], weapons of mass destruction [WMD], etc.) – 5%

## SD UASI Management Team

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- The UASI Management Team is comprised of a Program Manager, Program Coordinator, as well as project, grant, and administrative staff.
  - The Program Manager appoints members to the Management Team to implement the policies of the UAWG.
  - The members of this Team are employees of the City of San Diego, are assigned to work on the Management Team, and are paid through grant funds.
  - The Program Manager directs and manages the Management Team, including setting job duties and responsibilities and establishing performance goals and expectations.

# SD UASI Management Team Responsibilities

- The San Diego UASI Management Team Responsibilities:
  - regional capability assessment, planning and strategy development, resource allocation, implementation, and alignment to the SDUA Security Strategy
  - serves as the point of contact for all inquiries and issues from regional stakeholders and facilitates UAWG meetings
    - Needs Identification
    - Coordination and Collaboration

# SD UASI Management Team Responsibilities

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- Responsibilities continued:
  - oversee and execute all administrative tasks associated with application for and distribution of grant funds and programs
  - administer federal grant awards to ensure compliance with federal laws, regulations, executive orders, Office of Management and Budget (OMB) circulars, departmental policy, award terms and conditions, and state and local requirements
    - Project Management/Regional Coordination
    - Procurement Oversight
    - Accounting/Fiscal Oversight and Accountability
    - Sub-recipient partnerships

# SDUA Security Strategy

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- The purpose of the San Diego Urban Area (SDUA) Homeland Security Strategy is to ensure the region has a single, data-driven document that outlines the region's risks, capability needs, vision, structure, goals, and objectives for homeland security over a five year period.
  - The goals and objectives of the Strategy serve as the core for what the region will seek to achieve across the homeland security mission areas, based on the latest risk and capability levels identified in the region
  - 8 goals and 27 objectives (explained in later slide)

## SD UASI Goal Leads / Project Proposals

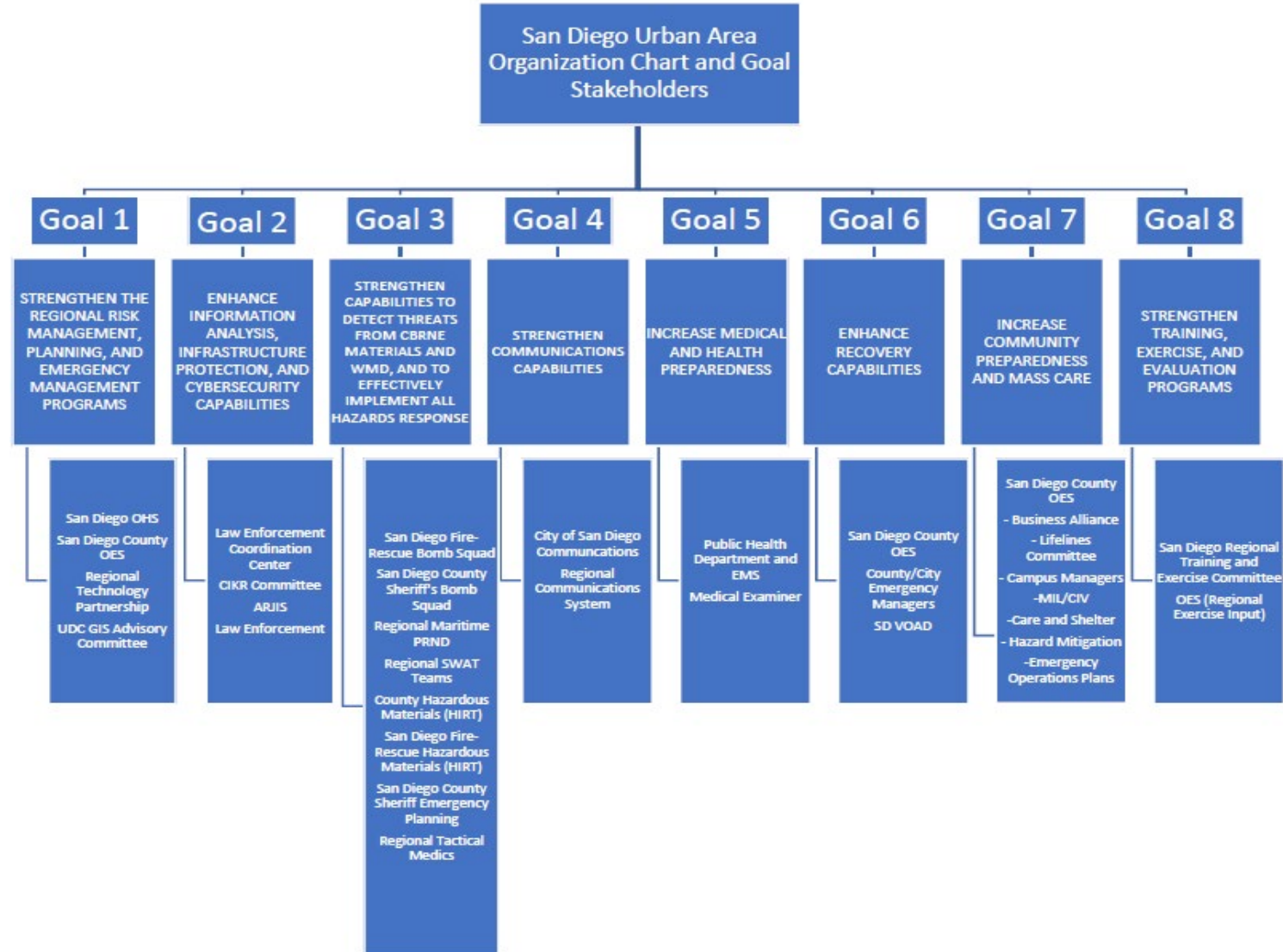
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- All UASI project proposals must align to one of the 8 Security Strategy goals.
- Goal leads are responsible for the overall coordination and vetting/justification of project proposals for the goal investment.
  - Project Proposal Development/Support
    - OHS provides template/provides support
  - SDUA Stakeholder/Committee Vetting
    - Internal vetting recommended
  - Project Submittal to SD UASI Management Team
    - Proposals must be submitted to goal lead; not accepted if submitted directly to OHS



2017-2019 SDUA Homeland Security Strategy

Appendix C  
SDUA Organization Chart







| GOAL | NAME  | OBJECTIVES   | LEADS   |
|------|---|--|---|
| 1    | <b><i>Strengthen the Regional Risk Management, Planning, and Emergency Management Programs</i></b>                                      | 1.1 Enhance Planning and Risk Management Capabilities<br>1.2 Strengthen Public Information and Warning Capabilities<br>1.3 Strengthen Operational Coordination Capabilities  | Representative from the Office of Homeland Security, City of San Diego<br><br>Representative from the Office of Emergency Services, County of San Diego |
| 2    | <b><i>Enhance Information Analysis, Infrastructure Protection, and Cybersecurity Capabilities</i></b>                                   | 2.1 Enhance Intelligence Collection, Analysis, and Sharing<br>2.2 Increase Regional Critical Infrastructure Protection Activities and Programs<br>2.3 Strengthen Law Enforcement Investigation and Attribution Capabilities<br>2.4 Enhance Cybersecurity   | Representative from the San Diego Law Enforcement Coordination Center<br><br>Representative from ARJIS  |
| 3    | <b><i>Strengthen Capabilities to Detect Threats from CBRNE Materials and WMD, and to Effectively Implement all Hazards Response</i></b> | 3.1 Enhance On-Scene Security, Protection, and Law Enforcement through Emergency Public Safety and Security Response<br>3.2 Strengthen Mass Search and Rescue Capabilities<br>3.3 Strengthen On-Scene Security, Protection, and Law Enforcement through Explosive Device Response Operations<br>3.4 Enhance Environmental Response/Health and Safety through WMD/HazMat Response and Decontamination Capabilities<br>3.5 Strengthen Screening, Search, and Detection Capabilities<br>3.6 Enhance Interdiction and Disruption Capabilities Through Law Enforcement Tactical Operations. | Representative from the Fire-Rescue Department, City of San Diego   |



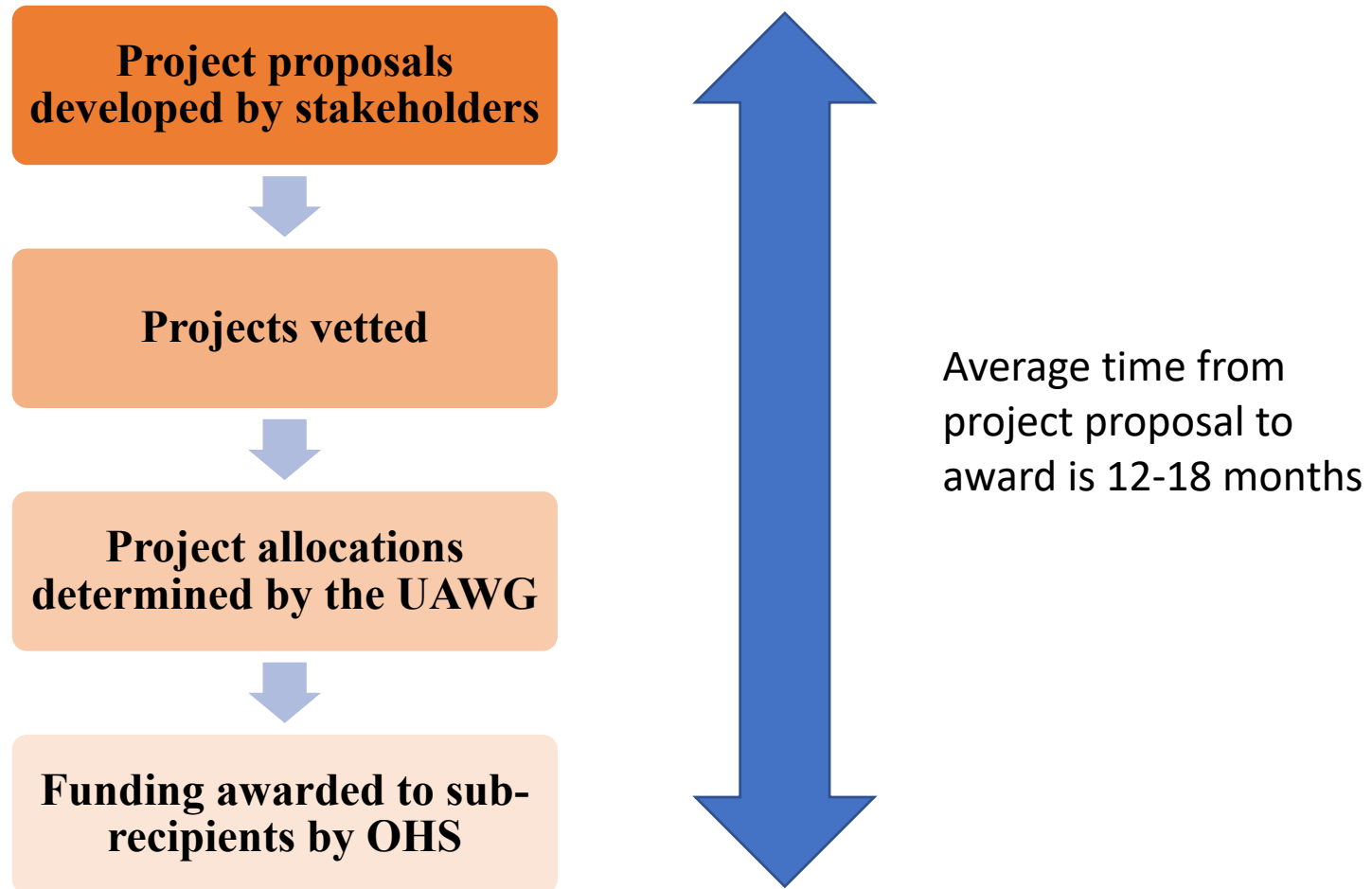
| GOAL | NAME  | OBJECTIVES   | LEADS  |
|------|---|--|--|
| 4    | <b><i>Strengthen Communications Capabilities</i></b>                | 4.1 Enhance Operational Communications Capabilities  | Representative from the Department of IT, City of San Diego<br>Representative from the San Diego County Sheriff's Department |
| 5    | <b><i>Increase Medical and Health Preparedness</i></b>              | 5.1 Strengthen Triage and Pre-Hospital Treatment<br>5.2 Improve Medical Surge<br>5.3 Enhance Fatality Management<br>5.4 Increase Mass Prophylaxis                              | Representative from the Public Health Preparedness and Response Branch, County of San Diego HHS                              |
| 6    | <b><i>Enhance Recovery Capabilities</i></b>                         | 6.1 Strengthen Infrastructure Systems<br>6.2 Enhance Economic and Community Recovery<br>6.3 Improve Logistics and Supply Chain Management Capabilities for Recovery Operations | Representative from the Office of Emergency Services, County of San Diego  |
| 7    | <b><i>Increase Community Preparedness and Mass Care</i></b>         | 7.1 Increase Community Resiliency<br>7.2 Enhance Critical Transportation Capabilities<br>7.3 Improve Mass Care   | Representative from the Office of Emergency Services, County of San Diego  |
| 8    | <b><i>Strengthen Training, Exercise and Evaluation Programs</i></b> | 8.1 Strengthen Regional Training programs<br>8.2 Enhance Exercise and Evaluation Programs  | Regional Training Manager  |

## Urban Area Working Group (UAWG)

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- Subcommittee of the San Diego Unified Disaster Council (UDC)
- UAWG
  - UAWG Charter
    - Membership, Voting Rights, Funding Allocation Methodologies
  - Approval of the annual UASI funding allocation
  - Adoption of Security Strategy
  - Approval of the Multi-Year Training and Exercise Program (MYTEP)
- UAWG voting membership consists of one representative from each of the 18 incorporated cities and the County

# Project Life Cycle



# UASI Allocations-Five Year Overview

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## SDUA Allocation % Allocated to the City

- FY21 allocation **\$13,976,300** (*estimated*)
- FY20 allocation **\$13,976,300** **44%**
- FY19 allocation **\$13,976,300** **46%**
- FY18 allocation **\$15,810,900** **49%**
- FY17 allocation **\$15,326,400** **47%**
- FY16 allocation **\$15,326,400** **37%**